


Aegon General Investment Account for trusts application form

In this form (except section 11), 'I', 'you' and 'your' means the trustees of the trust named in section 1, or, in relation to sections 8 and 9 only, the appointed intermediary, and 'Aegon', 'we' or 'our' means Cofunds Limited.

Use this form to apply for an Aegon General Investment Account (GIA) for a trust where you can select to fund the General Investment Account in either a single payment, regular payments or both, this form must be sent to Aegon with the trust deed and trust and identity verification form. If you want to add money into an Aegon GIA that you already hold you should complete an Aegon General Investment Account top-up form.

You must have been provided with an illustration, terms and conditions, Aegon GIA key features and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in from us before completing this form. If you haven't, we can't process your request. Please contact us on 0345 604 4001 to request an illustration.

Please complete this form in BLOCK CAPITALS and in ballpoint pen and return it and any additional information to: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB.

Whenever you see this icon , you may have to send us additional information.

1. Trust details

1.1 Trust name

Type of trust (eg Discretionary, Bare, Will)


Principal Trustee name

Contact address

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>
Postcode

Email address

We'll use your email address to contact you about your plan. We might also use it to keep you informed about our products and services but only where you've consented to this.

Please download and complete the Entity self-certification declaration from our website and attach it to this form. 

1.2 Did you get advice before completing this form?

Yes No

Tick this box to confirm that you've had the opportunity to read the Aegon GIA key features and fund specific information and/or Key Investor Information Documents (KIIDs) relating to your investment/and Aegon Platform terms and conditions and illustrations.

1. Trust details – continued

- 1.3 Use this section to specify a unique reference for this account. You can designate an account using alphanumeric characters.

Designation

This section is optional. Please ensure the reference doesn't make a meaningful word.

2. Funding the investment

We will be funding the investment into the Aegon GIA by:

Cheque Single Payment Amount £

Monthly Direct Debit
Regular Payment Amount £

Please download and complete the Aegon GIA Direct Debit Mandate – and specify the start date of the regular payments below.

Start date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

We must receive this form 17 working days before your first chosen date, otherwise your first collection will be the month after.

Bank transfer
Single payment amount £

For a bank transfer we will provide bank details when you receive your illustration from us.

Important note: Make sure you enter the trust name as reference when you make the payment to ensure we can tie it up with your account.

We might need to know where the money you are investing has come from. If we do, we'll contact you to ask you where the money has come from and for documentary evidence of this.

Cheques must be made payable to Cofunds Limited. For a Building Society cheque or banker's draft the trust name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature.

3. Investment details

In this section, you need to tell us how you'd like to invest your money in your Aegon GIA. Your intermediary should complete section 3.3 if you're investing in a model portfolio.

The investment choices you make can be applied to all future investment choices in this product.

Use the investment choices below as our default investment selection. If you select different investments for your regular and single payments the default investment strategy and rebalancing options can't be selected.

3.1 Single payment

Please complete this section if you're making a single payment.

The investments should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different investments, please contact us for a new illustration.

Full investment manager name, investment name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon GIA cash facility	n/a	%
Total amount	n/a	100%

3.2 Regular payments

Please complete this section if you're making regular payments.

Use the same investment selection as detailed in the single payment section.

Invest in the investments shown in the table below.

The investments in the table below, should match the illustration you received from us. If they don't, we won't be able to process your request. If you want to invest in different investments, please contact us for a new illustration.

3. Investment details – continued

Full investment manager name, investment name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon GIA cash facility	n/a	%
Total amount	n/a	100%

If you need to add additional investments, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form ☒.

3.3 Model portfolio (for intermediary use only)

Name of existing model portfolio

3.4 Auto-rebalancing (For intermediary use only)

Do you want to set up rebalancing on your investments?

No

Yes

Please confirm the frequency of rebalancing.

Quarterly

Yearly

4. Investment income options

If you have selected income-generating investments in section 3, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon GIA.

Please tick one of the following options:

- Reinvest in fund (default)** – reinvest any income received back into the same investment.
- Leave in cash** – pay any income into the Aegon GIA cash facility.
- Consolidated natural income** – pay any income received to your nominated trust bank account as a monthly payment. (Complete section 7 to nominate a trust bank account).

5. Regular withdrawals

This section lets you take a regular withdrawal from your Aegon GIA. You can't take regular withdrawals if you have chosen to:

- make a regular payment into your Aegon GIA in section 2, or
- take consolidated natural income in section 4.

Regular withdrawal amount

£

Do you want your regular withdrawal to increase each year?

- No
- Yes by the Retail Prices Index
- Yes by a fixed amount 1% - 5%

%

Or

Percentage of product value

%

Percentages are calculated as a monetary amount based on the product value when the withdrawal is processed.

For example, if you select to receive withdrawals of 10% on a monthly basis, we'll calculate 10% of your product value each month and then divide this by twelve. This means that your monthly withdrawal amounts will vary.

Withdrawal frequency

- Monthly
- Quarterly
- Half yearly
- Yearly

Payment day

- 9th
- 18th
- 27th

Your withdrawal will be paid out of your product on the day selected and will take approximately three additional business days to clear into your chosen account.

Start date

Please choose the month in which you want to make your first withdrawal.

If we receive this form within ten working days of the requested start date, we'll start withdrawals from the following month.

6. Cash facility top-up instruction

This section lets you tell us what investments we should sell if we need to automatically top up the cash in your cash facility to cover charges or regular withdrawals, where applicable.

If you don't nominate an investment below, we automatically use the largest value investment available - you don't need to tell us to do this.

Nominate an investment to sell from

Nominated fund name

Sedol code (this is shown in your Key Investor Information Documents (KIIDs))

7. Bank details for payments out of investments

Please provide details of the trust bank/building society account the consolidated natural income, or regular withdrawals are to be paid to.

If this is the first payment to your nominated trust bank account, you may need to give us a certified copy of your bank statement (as proof of signature). We'll contact you if we need this.

Name of bank/building society

Building society roll number (if applicable)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Account name

Payments to building society accounts may take up to 10 days longer than payments to bank accounts.

Branch sort code

--	--	--	--	--	--

Bank/building society account number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

8. Intermediary details (for intermediary use only)

8.1 Intermediary name

Firm name

Please detail any adviser or service charges below.

8.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge.

If any charge chosen in this section is to be subject to Value Added Tax (VAT), we'll add VAT to the selected 'Amount', or where 'Percentage' is selected, we'll calculate the charge amount and then add the VAT to it, this applies to section 8.2.1 and 8.3.

8.2.1 Initial adviser charge on the single payment

Enter the amount or percentage to be deducted. Tick one option only.

Amount £

Percentage of the single payment

%

Is this subject to VAT?

Yes

No

8.3 Ongoing adviser charge

Use this section to set up a monthly ongoing adviser charge.

Is the charge to be linked to a charge model? Yes No

If yes - Model name

If no - Ongoing adviser charge £ or %

Is VAT to be added to the above? Yes No

8.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon GIA to pay you a service charge.

Service charge model name

9. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times.

Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or

other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

Mail

Phone

SMS

Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

10. Trustee declaration

In this declaration:

'We', 'us' or 'our' refers to the trustees of the trust named in section 1, and 'Aegon' refers to Cofunds Limited.

General declaration

10.1 Aegon relies on the information contained in the following documents as they form the basis of the contract being applied for:

- i. the application;
- ii. these declarations and any other declarations made when applying for the Aegon General Investment Account (GIA);
- iii. the first contract note, and
- iv. the Aegon Platform terms and conditions.

We confirm that we have had the opportunity to read these documents carefully (other than the first contract note which will be given to us in accordance with the Aegon Platform terms & conditions), along with the key features document, our personal illustration, Key Investor Information Documents (KIIDs) and the declarations in this application, before completing this application form.

10.2 We confirm that we have had the opportunity to read the Aegon UK Retail order execution policy and we agree to its terms.

10.3 We confirm that we are habitually resident in the United Kingdom and we are not citizens or taxpayers of the USA.

10.4 We accept that Aegon has not and will not assess our suitability for the Aegon GIA or any investment decisions we make. This means we will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

We declare that:

10.5 We apply for the Aegon GIA and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.

10.6 We are 18 years of age or over.

10.7 The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of our knowledge and belief. We are aware that it is a serious offence to knowingly provide false or misleading information on the application.

10.8 And confirm that, if we have not received face to face advice from an appointed intermediary in connection with this application, we have received and had the opportunity to read the key features document, illustration, Key Investor Information Documents (KIIDs) and terms and conditions that are relevant to this application.

10.9 Where regulations allow, we nominate our appointed intermediary to receive correspondence in relation to our investments. This instruction will remain in force unless our appointed intermediary has informed Aegon that they wish for this correspondence to be sent directly to us, or we no longer have an appointed intermediary to whom Aegon can send these.

10.10 Any payment into the Aegon GIA, including contributions and transfers, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by us or our appointed intermediary.

10.11 Where we have requested regular withdrawals in section 5:

- i. The withdrawal amount we have chosen is the gross amount we want Aegon to pay to us and we authorise Aegon to pay us this amount in accordance with this instruction.
- ii. If the amount in the cash facility does not meet the amount of the regular withdrawal requested, we are aware that Aegon will sell the largest value investment to cover any shortfall unless we have otherwise instructed Aegon to sell selected investments in section 6.
- iii. We are aware that if any investments need to be sold to pay the regular withdrawal amount, there might be a Capital Gains Tax liability.

10.12 We will provide details through self-certification of all countries in which we are resident for tax purposes. If we do not provide these details, we will be reportable to HM Revenue & Customs (HMRC) as undocumented.

10.13 Where required to under UK law, Aegon can share information about us and the Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.

10. Trustee declaration – continued

We authorise Aegon to:

- 10.14 Hold cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- 10.15 Arrange any transfer of an existing GIA held with a different provider to the Aegon GIA, as and when we request that Aegon do so.
- 10.16 Obtain details from the existing GIA provider(s) and authorise the giving of any such details to Aegon.
- 10.17 Accept investment and disinvestment instructions from our appointed intermediary and to accept instructions from our appointed intermediary with regard to all aspects of the running of the Aegon GIA.
- 10.18 Make any payments specified by us (including any adviser charge(s) set out in section 8 to our appointed intermediary) on our behalf from the Aegon GIA. We agree that these payments reflect the terms of the agreement we have entered into with our appointed intermediary. The contract note will confirm the actual amount of the payment that is deducted and paid to our appointed intermediary. If we disagree with the payment then we must advise our appointed intermediary of this. We agree that once any adviser charge has been deducted from our Aegon GIA, Aegon cannot return it to us and we will have to discuss any refund of the adviser charge direct with our intermediary.

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Print name

Trustee signature

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Print name

Trustee signature

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Print name

Trustee signature

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Print name

Trustee signature

