

AJ BELL INVESTCENTRE SIPP INVESTMENTS APPLICATION

for new and existing clients

This form is to be used to apply for AJ Bell Investcentre SIPP investments through Aegon.

You must also complete an **AJ Bell Investcentre Application** Form available from your nominated adviser/intermediary.



Please complete this form and return it to:

AJ Bell Investcentre, 4 Exchange Quay, Salford Quays, Manchester M5 3EE



The Aegon Platform terms and conditions and the Supplement for AJ Bell Investcentre Self Invested Personal Pension can be found on the Aegon website.

Also available on the Aegon website:

AJ Bell Investcentre SIPP Investments Transfer Application – transfer assets from another pension scheme to your AJ Bell Investcentre SIPP.

Section 1 Client details

1A. New and existing client details

Customer number	<input type="text" value="3"/>	
Mr/Mrs/Miss/Ms/Other - please specify	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Surname	<input type="text"/>	
National Insurance Number	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/>	I don't have a National Insurance Number <input type="checkbox"/>
Email address	<input type="text"/>	
Date of birth	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>
FATCA	For tax purposes, are you resident anywhere other than the UK? Yes <input type="checkbox"/> No <input type="checkbox"/>	

If 'Yes' – please download and complete the Individual self-certification form on our website and attach to this form.

For existing Aegon clients, we just need customer number, name, FATCA information and date of birth.

When completing date fields please use the usual **DD/MM/YYYY** format.

1B. New client address details

Permanent residential address	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>
Previous address <i>If time at current address is less than two years</i>	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>

For existing Aegon clients who have a new address, use this section to tell us.

To place investments available on Aegon, your current, permanent residential address must be in the United Kingdom.

Section 2

Adviser/Intermediary details (for adviser/intermediary use only)

Intermediary name

Firm name

Section 3

Client declaration

I confirm that

I acknowledge that the pension account with Aegon will be set up in my name, as the holder of an AJ Bell Investcentre SIPP. The trustee of my SIPP is Sippdeal Trustees Limited and I agree that no money will be transferred to, or from, the Aegon pension account without its authority. I acknowledge that I, or my nominated intermediary, will have authority to give instructions direct to Aegon for the purchase, sale or switching of investments within the pension account. If you do not understand any of the terms you should speak to your nominated intermediary or Aegon.

I have read and agree to the Aegon Platform terms and conditions and Supplement for AJ Bell Investcentre SIPP and agree to be bound by the terms within.

I confirm that I have had the opportunity to read these documents carefully, along with the SIPP Member's Booklet and Terms Sheet, the declarations in this form and Aegon's Order Execution Policy, before completing this application.

I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

I accept that Aegon has not and will not assess my suitability for the pension account or investment decisions I make.

I declare that:

I apply for a pension account and services outlined in the application and agree to be bound by the Aegon Platform Terms and Conditions and the Supplement for the AJ Bell Investcentre SIPP.

I am 18 years of age or over.

I am habitually resident in the United Kingdom.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

I consent to the recording of my telephone calls with Aegon for monitoring, training and security purposes.

Any payment into my pension account, including contributions and transfers, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my nominated intermediary where I have appointed one in relation to the pension account.

AJ Bell and/or Aegon may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search.

I authorise Aegon, on behalf of Sippdeal Trustees Limited to:

Hold any cash, contributions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Accept investment and disinvestment instructions from my nominated intermediary where I have appointed one in relation to my pension account.

Disclose details of the pension account to my appointed nominated intermediary, and accept instructions from my appointed nominated intermediary with regard to all aspects of the running of the pension account.

Deduct the annual charge from the pension account in accordance with the Aegon Platform Terms and Conditions and the Supplement for the AJ Bell Investcentre SIPP, which includes selling investments in the pension account.

Client signature

Date

 / /

If you require a Fund prospectus, please contact your adviser/intermediary or Fund Manager directly.