

AJ BELL INVESTCENTRE SIPP INVESTMENTS TRANSFER APPLICATION

for existing clients

This form is to be used to transfer existing investments, not cash, held under an existing pension arrangement with another pension provider into an AJ Bell Investcentre SIPP through Aegon.

You must also complete an **AJ Bell Investcentre Application Form** and **Transfer Form** available from your nominated adviser/intermediary.



Please complete this form and return it to:

**AJ Bell Investcentre, 4 Exchange Quay, Salford Quays,
Manchester M5 3EE**

Section 1

Client details

1A. Existing client details

Product number	8	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mr/Mrs/Miss/Ms/Other - please specify	<input type="text"/>								
Full forename(s)	<input type="text"/>								
Surname	<input type="text"/>								
Date of birth	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>
FATCA	For tax purposes, are you resident anywhere other than the UK?			Yes	<input type="checkbox"/>	No	<input type="checkbox"/>		
	If 'Yes' – please download and complete the Individual self-certification form on our website and attach to this form.								

For existing Aegon clients, we just need product number, name, FATCA information and date of birth.

When completing date fields please use the usual **DD/MM/YYYY** format.

1B. Existing client address details

Permanent residential address	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>
Previous address <i>If time at current address is less than two years</i>	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>

For existing Aegon clients who have a new address, use this section to tell us.

To place investments available on Aegon, your current permanent residential address must be in the United Kingdom.

Section 2

Details of current pension provider

Pension provider details

Name of ceding pension provider	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>
Policy number or scheme reference number of existing scheme	<input type="text"/>

Section 3

Funds to be re-registered

3A. What funds would you like to invest in?

For further details about the available funds, please refer to the Key Investor Information Documents (KIIDs) and/or Fund Key Features Document.

Full fund manager name, fund name and share class (example) World Equity Z Fund	Sedol Code (this can be found on your KIIDs)	Percentage
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
TOTAL AMOUNT		100%

3B. Income options (please tick one of the following options)

The option you choose will be applied to all income units/shares you hold within this product.

Retain in fund (default) – reinvest any income back into the fund	<input checked="" type="checkbox"/>
Product cash facility – pay any income into my product cash facility	<input checked="" type="checkbox"/>

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

Section 4

Adviser/Intermediary details (for adviser/intermediary use only)

Intermediary name

Firm name

Section 5

Declaration and authorisation

I confirm that

I understand that the investment account with Aegon will be set up in my name, as the holder of an AJ Bell Investcentre SIPP. The trustee of my SIPP is Sippdeal Trustees Limited and I agree that no money will be transferred to, or from, the Aegon investment account without its authority. I understand that either I, or my nominated adviser/intermediary, will have authority to give instructions direct to Aegon for the purchase, sale or switching of investments within the investment account.

I have read and agree to the Aegon Platform terms and conditions and Supplement for AJ Bell Investcentre SIPP and agree to be bound by the terms within.

My signed application form (provided that my application is accepted by Aegon), together with the Aegon Platform terms and conditions and the supplement for the AJ Bell Investcentre SIPP, constitute my agreement with Cofunds Limited.

I understand that instructions may be delayed or rejected if this application form is not complete in all respects.

You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

I am aged 18 or over.

Client signature

Date

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If you require a Fund prospectus, please contact your adviser/intermediary or Fund Manager directly.

Section 6

Charge authorisation

6A. I declare that

I confirm that I have been provided with the Aegon Platform terms and conditions and the supplement for the AJ Bell Investcentre SIPP and, by submitting this application form, I agree to be bound by them.

I understand that the Aegon Platform terms and conditions and the supplement for the AJ Bell Investcentre SIPP sets out the standard terms upon which Aegon intends to rely, and it is important that I should read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

I confirm that I have been provided with the Key Investor Information Document (KIID).

I understand and accept that there is an annual charge for using the Aegon Platform.

I authorise Aegon to collect the proportion of the annual charge relating to my AJ Bell Investcentre SIPP from product cash facility.

If there is insufficient money in my account, I authorise Aegon to sell enough of my platform assets to pay the annual charge in accordance with the Aegon Platform Terms and conditions and the supplement for the AJ Bell Investcentre SIPP. I also understand and accept that this may result in commission-included share class funds being sold to cover this annual charge.

6B. I agree

- That the annual charge is payable by me; and
- To Aegon collecting the annual charge from my product cash facility. I understand and accept that if there is insufficient cash within my product cash facility, Aegon will sell funds from within the SIPP, without providing me with any prior notice.

Client signature

X

Date

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