



Withdrawal from an Aegon General Investment Account (GIA) into an Aegon Junior ISA (JISA)

If your personal circumstances mean you need any additional support, or if you'd like a large print, Braille or audio CD version of this document, please contact us on 0345 604 4001 (call charges will vary) or by visiting aegon.co.uk/support

Before completing this form - You must have been provided with an illustration, Aegon Platform terms and conditions, Aegon JISA key features, Aegon JISA supplement and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in before completing this form, or we may not be able to process your request. Please go to aegon.co.uk/support to download the form **Illustration request – Aegon GIA and Aegon ISA**. We'll send you the relevant documents along with your illustration.

About this form

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

The registered contact is a person with parental responsibility, or the child aged 16-18, if they so wish and have successfully applied to become the registered contact.

Use this form to withdraw part or all of the value of the Aegon GIA and move it into a new or existing Aegon JISA on behalf of a child who is under 18 and living in the UK, or on your own behalf if you are the child aged 16-18 who has successfully applied to become the registered contact.

If the child doesn't already have an Aegon JISA with us and you would like to apply for a new Aegon JISA account, you can use this form to do so. The Aegon JISA is a Stocks and Shares JISA. To make a withdrawal from more than one Aegon GIA, a separate form should be completed for each account.

Please complete this form by typing in the boxes, including the signature box, and email it to aegoncofundsadministration@aegon.co.uk

Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

If your financial adviser has completed this form on your behalf, please check all details are correct before typing your name in the signature box and returning the form.

Important

In section 3.1, if you choose to invest proportionally, or complete the table in 3.2, the funds should match the illustration you received from us. If they don't, we can't process your request. If you wish to invest in different funds, please contact us for a new illustration.

For the tax year 2022/2023, the maximum amount that can be paid into JISAs is £9,000.

If the Aegon GIA is a joint GIA, all joint holders must agree to the withdrawal and confirm that the money being withdrawn will belong to the child who is the beneficial owner of Aegon JISA named in section 1.1, and sign and date the declaration.

Additional information

The Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA give further detail around the conditions of withdrawing from the Aegon GIA and investing in the Aegon JISA product.

To download our documents, log into your dashboard, click on 'Help and Support' and search for the document you're looking for.

Whenever you see this icon *, you may have to send us additional information.

1. Child and registered contact details

1.1 Child details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth (dd/mm/yyyy)

1.2 For tax purposes is the child resident anywhere other than the UK?

 No Yes – please download and complete the Individual self- certification form on our website and attach to this form. *

1.3 Are you applying for a new Aegon JISA on behalf of the child?

 Yes – go to 1.4 No – tell us the Aegon JISA number and go to 1.5

Only complete 1.4 if you're applying for a new Aegon JISA with us.

1.4 Please tell us the child's:

Permanent registered address

Postcode

 The child does not have a National Insurance Number

National Insurance number (if held)

1.6 Registered contact details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth (dd/mm/yyyy)

Permanent registered address

Postcode

Email address

We'll use your email address to contact you about your Aegon JISA. We might also use it to keep you informed about our products and services but only where you've given your consent to this.

Did you receive advice from a financial adviser for this application?

 Yes No

4. Investment income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA. If you have an existing Aegon JISA with us and you don't tick one of the boxes below, we'll apply your existing income option.

Please tick one of the following options:

Reinvest in fund (default) – reinvest any income received back into the same fund.

Leave in cash – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the JISA to a customer.

5. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times.

Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

Mail

Phone

SMS

Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

6. Adviser details (for adviser use only)

6.1 Adviser name

Firm name

6.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge. Enter the amount or percentage to be deducted. Tick one option only.

Amount

Percentage of the lump sum payment

Is this subject to Value Added Tax (VAT)?

Yes

No

VAT will be added to the amount entered or added after the amount has been calculated.

6.3 Ongoing adviser charge

Use this section to set up monthly ongoing adviser charge.

Is the charge to be linked to a charge model?

Yes

No

If yes - Model name

If no - ongoing adviser charge

 p.a.

Or

 % p.a.

Is VAT to be added to the above?

Yes

No

6.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon JISA to pay you a service charge.

Service charge model name

7. Declaration - Aegon GIA

In this declaration 'I', 'my', 'we' or 'our' refers to the holders of the Aegon GIA. All holders are required to sign and date the Aegon GIA declaration.

- 7.1 I/We authorise Aegon to make a withdrawal from my/our Aegon GIA as detailed in section 2 in accordance with the instructions given.
- 7.2 Where there is a single holder of the Aegon GIA, the Aegon GIA holder confirms that the money being withdrawn will belong to the child who is the beneficial owner of the Aegon JISA named in section 1.1. Where there are joint holders of the Aegon GIA, all joint holders confirm that the money being withdrawn will belong to the child named in section 1.1.

You should sign and date this form by typing your full name in the signature box below and typing the date in the date box or by using any other electronic signature method we have agreed, in writing with your adviser, to accept. Your typed name or agreed electronic signature method in the signature box will be your signature. When you sign the form, by typing your name in this box or using the agreed electronic signature method, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date (dd/mm/yyyy)

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Primary account holder name

Primary holder signature (type name here)

X										X
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Date (dd/mm/yyyy)

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Second account holder name

Second joint holder signature (type name here)

X										X
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Date (dd/mm/yyyy)

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Third account holder name

Third joint holder signature (type name here)

X										X
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Date (dd/mm/yyyy)

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Fourth account holder name

Fourth joint holder signature (type name here)

X										X
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8. Registered contact declaration - Aegon JISA

General declaration

8.1 Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon JISA.

- this application form, including the declarations made in Section 7;
- the contract note for the Aegon JISA, and
- the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

I confirm that I have received and had the opportunity to read the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA carefully, alongwith the key features document(s), my personal illustration for the Aegon JISA, key investor information documents relating to the funds I would like to invest in and the declarations in this application before completing this application process.

8.2 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

8.3 I accept that Aegon has not and will not assess the child's suitability for opening an, or subscribing to an existing Aegon JISA or any investment decisions I make. Except where I have received advice from an adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon JISA, I should speak to an adviser where I have not already done so.

8.4 I accept that any payment into this Aegon JISA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my appointed adviser.

I declare that:

8.5 Where the child does not have an Aegon JISA capable of accepting the subscription equivalent to the amount detailed in section 2.2:

i. I apply for an Aegon JISA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

8.6 Where the child does have an Aegon JISA capable of accepting the subscription equivalent to the amount detailed in section 2.2, I apply to make this additional subscription to the existing Aegon JISA as detailed in 1.3.

8.7 I am 16 years of age or over.

8.8 I am the registered contact for the existing JISA to be transferred, as detailed above, or I will be the registered contact for the Aegon JISA being applied for.

8.9 I have parental responsibility for the child, or I am the child.

8.10 The child named above will be the beneficial owner of the accounts investments.

8.11 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.

8.12 I am not aware that this child has another stocks and shares JISA.

8.13 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.

8.14 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

8.15 The child who will hold the Aegon JISA does not hold a Child Trust Fund.

8.16 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a registered civil partnership with a UK Crown servant.

8.17 I agree to the Aegon JISA terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

