

1. Child and registered contact details – continued

1.4 Registered contact details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Permanent residential address

Postcode

Email address

We'll use your email address to contact you about your Aegon JISA. We might also use it to keep you informed about our products and services but only where you've consented to this.

1.5 Did you receive advice from a financial adviser for this application?

Yes No

Tick this box to confirm that you've had the opportunity to read the Aegon Platform terms and conditions, JISA Key features, JISA supplement and fund specific information and/or Key Investor Information Documents (KIIDs) relating to each of your investments.

2. Transfer in details

2.1 In this section, you need to tell us how you'd like to invest your money into your Aegon JISA. Your intermediary should complete sections 2.2 and 2.3 if you're investing in a model portfolio.

The investment choices you make can be applied to all future investment choices in this product.

Use the investment choices below as my new default investment selection. If you have different investment choices for your regular and single contributions/transfer payments you can't select the default investment strategy and rebalancing options.

The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, please contact us for a new illustration.

Full investment manager name, investment name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	n/a	%
Total amount	n/a	100%

2. Transfer in details – continued

If you need to add additional funds, please provide the information on a separate sheet of paper in the format on page 2, sign and date it and attach it to this form. ☒

2.2 Model portfolio (For intermediary use only)

If you're setting up a new Aegon JISA product:

Name of existing model portfolio

2.3 Auto-rebalancing (For intermediary use only)

Auto-rebalancing

No Yes. Please confirm the frequency.

Frequency of rebalancing

Quarterly Yearly

3. Investment income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA.

If you have an existing Aegon JISA with us and you don't tick one of the boxes below, we'll apply your existing income option.

Please tick one of the following options:

Reinvest in fund (default) – reinvest any income received back into the same fund.

Leave in cash – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the Aegon JISA to a customer.

4. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and

protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

- Mail
- Phone
- SMS
- Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

5. Intermediary details (for intermediary use only)

5.1 Intermediary name

Firm name

Please detail any adviser or service charges below.

5.2 **Initial adviser charge**

This instruction must be completed if you want to take an initial adviser charge.

Enter the amount or percentage to be deducted. Tick one option only.

Amount

Percentage of the lump sum payment %

Is this subject to VAT? Yes No

VAT will be added to the amount entered or added after the amount has been calculated.

5.3 **Ongoing adviser charge**

Use this section to set up a monthly ongoing adviser charge.

Is the charge to be linked to a charge model? Yes No

If yes – Model name

If no – Ongoing adviser charge p.a. or % p.a..

Is VAT to be added to the above? Yes No

5.4 **Service charge**

This section lets you agree and set up a monthly service charge model to your client's Aegon JISA to pay you a service charge.

Service charge model name

6. Declaration

General declaration

- 6.1 Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon JISA.
- this application form, including the declarations made in Section 6;
 - the contract note for the Aegon JISA, and
 - the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- I confirm that I have received and had the opportunity to read the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA carefully, along with the key features document(s), my personal illustration for the Aegon JISA, Key Investor Information Documents relating to the funds I would like to invest in and the declarations in this application before completing this application process.
- 6.2 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.
- 6.3 I accept that Aegon has not and will not assess the child's suitability for opening an, or subscribing to an existing, Aegon JISA or any investment decisions I make. Except where I have received advice from an intermediary, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon JISA, I should speak to an intermediary where I have not already done so.
- 6.4 I accept that any payment into this Aegon JISA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my appointed intermediary.

I declare that:

- 6.5 Where the child does not have an Aegon JISA capable of accepting the transfer payment:
- I apply for an Aegon JISA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- 6.6 Where the child does have an Aegon JISA capable of accepting the transfer payment, I apply to make this transfer payment to the existing Aegon JISA as detailed in section 1.
- 6.7 I am 16 years of age or over.
- 6.8 I am the registered contact for the existing JISA to be transferred, as detailed above.
- 6.9 I will be the registered contact for this Aegon JISA.
- 6.10 I have parental responsibility for the child, or I am the child.
- 6.11 The child named above will be the beneficial owner of the accounts investments.
- 6.12 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.
- 6.13 I am not aware that this child has another stocks and shares JISA.
- 6.14 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.
- 6.15 I will not knowingly make subscriptions to JSAs for this child that will result in the subscription limit being exceeded.
- 6.16 The child who will hold the JISA does not hold a Child Trust Fund.
- 6.17 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.
- 6.18 I agree to the Aegon JISA terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- 6.19 The information supplied in the application, and supplementary forms related to it, including transactional data and personal details, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

6. Declaration – continued

6.20 I have read KIIDs relating to the funds I would like to invest in.

6.21 Where regulations allow, I nominate my appointed intermediary to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed intermediary has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed intermediary to whom Aegon can send these.

I authorise Aegon to:

6.22 Hold the child's cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

6.23 Make on the child's behalf any claims to relief from tax in respect of any JISA investments.

6.24 Disclose details of this Aegon JISA to my appointed intermediary.

6.25 To accept investment and disinvestment instructions from my appointed intermediary with regard to all aspects of the administration of the Aegon JISA.

6.26 Pay any fees or charge specified by me to my appointed intermediary on the child's behalf from the Aegon JISA.

I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with my appointed intermediary. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed intermediary. If I disagree with the fee or charge then I must advise my appointed intermediary.

6.27 Arrange any transfer of an existing JISA held by the child with a different provider to this Aegon JISA.

6.28 Obtain details from the child's existing JISA Manager(s) and authorise the giving of any such details to Aegon.

Date

D	D	M	M	2	0	Y	Y
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Registered contact signature

X





Aegon Junior ISA transfer authority (Stocks and Shares)

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

Use this form to make a transfer from a Junior ISA (JISA) with another JISA account manager into an Aegon JISA.

Important

You must send this form along with a completed and signed Junior ISA transfer application form.

If you're transferring from more than one JISA account manager, you'll need to send a separate transfer authority for each transfer.

Please ensure that you have signed both the application form and the transfer authority.

All the details must match those held by the existing JISA account manager. Any differences could cause delays to the requested transfer.

Please complete this form in BLOCK CAPITALS and ballpoint pen and return it to: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB.

1. Investments that you wish to keep via re-registration (stock transfer)

Where investments that are held with the existing account manager named on this authority are available for investment within the Aegon JISA you can choose to transfer those investments by re-registration without first selling the investments.

Please list all investments held with the JISA account manager named on this authority that you wish to retain in this way when you transfer the investment to Aegon. Please ensure the investments you choose are available through Aegon and are compatible with your investment service. For more details of your investment service please see the Aegon Platform terms and conditions.

Please note that you must transfer the full value of another stocks and shares JISA and may not transfer only part of it.

Full investment manager name and investment name	Sedol code (this is shown in your Key Investor Information Documents (KIIDs))	Account or plan numbers (this must be completed)

2. Investments that you wish to sell (stocks and shares JISA cash transfer)

Where investments that are held with the existing account manager named on this authority are not available for investment within the Aegon JISA or you wish to transfer cash from the existing JISA to the Aegon JISA, you may instruct the existing account manager to sell those investments into cash before transfer into the Aegon JISA.

Please list all investments held with the JISA account manager named on this authority that you wish to sell into cash and transfer to Aegon. Please also complete section 2 of the Junior ISA transfer application form to tell us which investments you'd like to reinvest into.

Sell all my investments

Full investment manager name and investment name	Sedol code (this is shown in your KIIDs)	Account or plan numbers (this must be completed)

3. Cash JISA transfer

If applicable, please indicate either of the following to be transferred into your Aegon JISA.

All my cash or An amount of the cash JISA £

Please state the notice period (if any), for you to transfer the cash Junior ISA days

Account number (this must be completed)

Please also complete section 2 of the Junior ISA transfer application form to tell us which investments you'd like to reinvest into.

4. Authorisation

Aegon JISA number

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I authorise the JISA account manager named below on this authority to re-register the investments detailed in section 1 and transfer the investments in section 2 in cash when requested to Cofunds Nominees Ltd with immediate effect or where I so instruct at the end of a notice period, and forward the proceeds as specified below to the new Aegon ISA account manager at: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB, and provide Aegon with any information reasonably required by them to allow them to accept this transfer.

Full forename(s)

Surname

Date

D	D	M	M	2	0	Y	Y
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Signature

Name of existing JISA Account Manager

Permanent home address

Postcode

