

SUFFOLK LIFE ADVISER CHARGING FORM

for existing clients

This form can be used to set up an ad-hoc or ongoing adviser charge to a Suffolk Life product you currently hold.

If you want to apply a charge to more than one product please complete a separate form for each product.



Please complete this form and return to:
**Aegon Cofunds Administration, PO Box 17491,
Edinburgh, EH12 1PB**

Section 1

Client/Company details

Product number

Mr/Mrs/Miss/Ms/Other - please specify

Full forename(s)

Surname

or company name

Date of birth / /

When completing date fields please use the usual **DD/MM/YYYY** format.

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Section 2

Adviser details (for adviser use only)

Intermediary name

Firm name

Section 3

Adviser charging (for adviser use only)

Please tick the applicable boxes below, and complete the relevant section:

Ad-hoc adviser charge – complete Section 3A	<input checked="" type="checkbox"/>
Ongoing adviser charge – complete Section 3B	<input checked="" type="checkbox"/>

3A. Ad-hoc adviser charge

The charge will be deducted from the product cash facility of the product chosen in Section 1. If you want to apply a charge to more than one product please complete a separate form for each product.

Charge amount (fixed monetary amount) £

Charges deducted directly from a pension product cash facility may reduce the capital value of that pension and the pension income generated.

Any ad-hoc adviser charges taken from the relevant pension product cash facility must only be in relation to advice given on that product.

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3B. Ongoing adviser charge

This section is used to agree an ongoing adviser charge allowing payments to be made to you.

Ongoing adviser charge details

Charge model name

Annual percentage rate % **or** Tiered percentage rate % **or** Monthly fee amount £

If you have selected to charge a tiered percentage rate please enter the highest applicable percentage rate that applies to the charge.

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Section 4

Declaration

Please complete one of the following sections. Your client must complete Section 4A unless you're providing a copy of their signed fee agreement, in which case you should complete Section 4B.

4A. This section must be signed by the client (if Section 4B is not being completed)

Ad-hoc and ongoing charges

I confirm that I have received my illustration and understand the charges that are applicable to my investment.

I confirm that any adviser charges are genuinely commercial arrangements between myself and my adviser and only relate to pensions advice and services provided.

By signing this form I agree that my adviser has explained the attributes of the ad-hoc charge and/or ongoing charge to me, and agree to pay my adviser charges from my relevant product cash facility (ongoing fees will be on a monthly basis).

If there is insufficient money within the relevant payment account, I authorise Aegon to sell enough of my platform assets to pay the ongoing charge in accordance with the Aegon Platform terms and conditions.

Primary holder signature Date / /

4B. This section must be completed by the adviser (if Section 4A is not being completed)

I attach a copy of my client's signed fee agreement and confirm that this represents my client's authority to instruct Aegon to pay me (acting as the adviser of the client) the agreed, ad-hoc charge and/or ongoing charge from the client's relevant payment account.

I understand that to apply an ad-hoc charge, my client's signed agreement must include the monetary amount of the charge and must match the total charge stated in Section 3A.

The charges applicable to this form are shown in the following section(s) of the attached client-signed fee agreement:

Page number: & Paragraph: and/or Section reference:

Adviser signature Date / /