

Confirmation of verification of identity

You should complete this form to manually verify the identity of any private or non-personal customer.

Before filling in the form, you should read the explanatory notes under 'Details of introducing firm' in the relevant section.

Please complete this form in BLOCK CAPITALS and ballpoint pen and return it to: Aegon Cofunds Administration, PO Box 17491, Edinburgh, EH12 1PB

Private individual

1. Details of individual

Customer number

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Mr / Mrs / Miss / Ms / Other – please specify

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Full forename(s)

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Surname

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Current address

Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Previous address (if individual has changed address in the last three months)

Postcode

2. Confirmation

I confirm that:

- 2.1 The information in section 1 above was obtained by me in relation to the customer.
- 2.2 The evidence I have obtained to verify the identity of the customer: (tick only one)
- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence - where the client is a Politically Exposed Person (written details of the further verification evidence taken are attached to this confirmation).

Name

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Position

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Date

D	D	M	M	2	0	Y	Y
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Signature

X	X
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2. Confirmation

I confirm that:

- 2.1 The information in section 1 under Corporate and other non-personal entity was obtained by me in relation to the customer.
- 2.2 The evidence I have obtained to verify the identity of the customer: (tick only one)
- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence - where the client is a Politically Exposed Person (written details of the further verification evidence taken are attached to this confirmation).

Name

Position

Date

D	D	M	M	2	0	Y	Y
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Signature

X	X
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3. Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

Financial Services Register number

Explanatory notes

- 3.1 'Relevant company registry' includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.
- 3.2 This form **cannot** be used to verify the identity of any customer who falls into one of the following categories:
- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirements for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations, or
 - those whose identity has been verified using the source of funds as evidence.

3.3 This confirmation must carry an original signature, or an electronic equivalent.

3.4 If there are no principal beneficial owners with a shareholding of over 25%, please provide the names (and dates of birth, if known) of those individuals with a majority shareholding of 25% or less.

Source: JMLSG Guidance Notes Annex 5-I/1

